

2131
IFW
SERIAL NO. 09/817,891

IBM DOCKET NO. AUS920010279US1

DATE: September 24, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dutta

Serial No.: 09/817,891

Filed: March 26, 2001

**Title: System and Method for Maintaining
User Security Features**

: Group Art Unit: 2131
: Intellectual Property Law Department
: International Business
: Machines Corporation
: Intellectual Property Law Dept.
: 11400 Burnet Road
: Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

This Supplemental Information Disclosure Statement is believed to be timely pursuant to 37 CFR § 1.97(b), therefore no additional fees are believed to be necessary. If, however, additional fees are deemed to be necessary, the Commissioner is hereby authorized to charge such fees to IBM's deposit account No. 09-0447.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

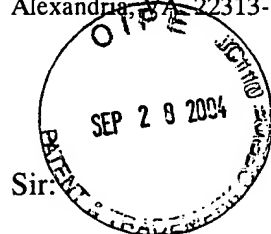
By Joseph T. Van Leeuwen
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COMMISSIONER FOR PATENTS
Alexandria, VA 22313-1450

SERIAL NO. 09/817,891

IBM DOCKET NO. AUS920010279US1

DATE: September 24, 2004



Assignee Name: International Business Machines Corporation

Assignee Residence: Armonk, New York

Transmitted herewith for filing is the Patent Application of:

Inventors: Dutta

For: System and Method for Maintaining User Security Features

Enclosed are:

- ☒ Supplemental Information Disclosure Statement;
- ☒ Modified form 1449 listing 4 reference(s);
- ☒ copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This Supplemental IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. **A duplicate copy of this sheet is enclosed.**
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. **A duplicate copy of this sheet is enclosed.**
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

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Certificate of Mailing Under C.F.R. §1.8(a)

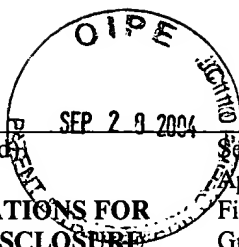
I hereby certify that this correspondence was sent via First Class Mail to the United States Patent Office in an envelope with sufficient postage addressed to Commissioner for Patents, Alexandria, VA 22313-1450 on September 24, 2004.

By: _____

Joseph T. Van Leeuwen

9/24/04

Date



In Place of FORM PTO-1449 (Modified)

SEP 29 2004

Serial No. 09/817,891

Applicant: Dutta

Filing Date: 3/26/2001

Group: 2131

Atty. Docket No. AUS920010279US1

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

U. S. PATENT DOCUMENTS

Examiner <u>Initial</u>		Document <u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	Filing Date if <u>Appropriate</u>
_____	AA	5,598,477	01-1997	Berson, William	380	51	
_____	AB	6,216,227	04-2001	Goldstein et al.	713	172	
_____	AC	6,454,174	09-2002	Sansone, Ronald P.	235	494	
_____	AD						
_____	AE						
_____	AF						
_____	AG						
_____	AH						
_____	AI						
_____	AJ						
_____	AK						
_____	AL						

FOREIGN PATENT DOCUMENTS

Examiner <u>Initial</u>		Document <u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	Translation <u>Yes</u> <u>No</u>
_____	AM						
_____	AN						
_____	AO						
_____	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner <u>Initial</u>		
_____	AQ	Bruce Schneier, Applied Cryptography 2 nd Edition, 1996, pages 34-35.
_____	AR	
_____	AS	
_____	AT	
_____	AU	

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.